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| | | | Application No. | 09/964,8 | 20 | | - |
| (to be used for all correspondence after initial filing) | | | Filing Date | Septemb | er 26, 2001 | DEC | 3 0 |
| | | | First Named Inventor | David G | . Leeper | 50.00 | <u> </u> |
| · | | | Art Unit | Not assig | gned | iC | 28 |
| | | | Examiner Name | Not assig | gned | | |
| Total Number of | Pages in This Submiss | ion 9 | Attorney Docket Number | er 42390P1 | 0398 | | |
| | ENCLO | SURES (chec | ck all that apply) | | | · · · | |
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| Firm Gregory D. Caldwell, Reg. No. 39,926 | | | | | | | |
| or | | | | | | | |
| Individual name BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP Signature | | | | | | | |
| Signature Special Control of the Con | | | | | | | |
| Date December 16, 2003 | | | | | | | |
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Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

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|----------------------|----------------------|--|--|--|--|
| Application Number | 09/964,820 | | | | |
| Filing Date | September 26, 2001 | | | | |
| First Named Inventor | David G. Leeper | | | | |
| Examiner Name | Not assigned | | | | |
| Group/Art Unit | Not assigned | | | | |
| Attorney Docket No. | 42390P10398 DECENTER | | | | |

| | 0.00 | Attorney | | | 42390P10398] | |
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| METHOD OF PAYMENT (check all that apply) | | | | FF | E CALCULATION (continued) | |
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| Page 1 | ק Fe ר C∞ | | Fee | Fee (\$) | , | Fee Paid |
| Deposit Account 02-2666 | 1 1 | | i | | Fee Description | reerato |
| Number | 105 | | 2051 2052 | 65 25 | Surcharge - late filing fee or oath Surcharge - late provisional filing fee or | |
| Deposit Account Blakely Sokoloff Taylor & Zafman I.I.P. |] '`` | 12 30 | 2002 | 23 | cover sheet. | |
| Account Name Blakely, Sokoloff, Taylor & Zafman LLP | 205 | 3 130 | 2053 | 130 | Non-English specification | |
| The Commissioner is authorized to: (check all that apply) | 181 | 2 2,520 | 1812 | 2,520 | For filing a request for ex parte reexamination | |
| Charge fee(s) indicated below Credit any overpayments | 180 | 920 | 1804 | 920 1 | Requesting publication of SIR prior to Examiner action | |
| Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and | 1.20. | - 40404 | | 4 0 40 5 | | |
| | 180 | 1,840° | 1805 | 1,840 | Requesting publication of SIR after Examiner action | |
| Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account | 125 | si 110 | 2251 | 55 | Extension for reply within first month | |
| FEE CALCULATION | 125 | | 2252 | 210 | Extension for reply within second month | |
| | 125 | _ | 2252 | 475 | Extension for reply within third month | ļ <u></u> |
| 1. BASIC FILING FEE Large Entity Small Entity | 125 | | 2254 | 740 | Extension for reply within fourth month | |
| Fee Fee Fee Fee Fee Description Fee F | | | 2255 | 605 | Extension for reply within fifth month | |
| Code (\$) Code (\$) | 120 | | | 165 | Notice of Appeal | |
| 1001 770 2001 385 Utility filing fee | 140 | | 2401 | | • | |
| 1002 340 2002 170 Design filing fee | 140 | | 2402 | 165 | Filing a brief in support of an appeal | |
| 1003 530 2003 265 Plant filing fee | 140 | | 2403 | 145 | Request for oral hearing | |
| 1004 770 2004 385 Reissue filing fee | -145 | | 2451 | 1,510 | Petition to institute a public use proceeding | |
| 1005 160 2005 80 Provisional filing fee | 145 | | 2452 | 55 | Petition to revive - unavoidable | |
| SUBTOTAL (1) (\$) | 145 | | 2453 | 665 | Petition to revive - unintentional | |
| | 150 | | 2501 | 665 | Utility issue fee (or reissue) | · · · · · · · · · · · · · · · · · · · |
| 2. EXTRA CLAIM FEES Extra Fee from | 150 | | 2502 | 240 | Design issue fee | |
| Total Claims below Fee F | | 3 640 | 2503 | 320 | Plant issue fee | |
| - 20 = 0 X 18.00 3 | 0.00 146 | 60 130 | 2460 | 130 | Petitions to the Commissioner | |
| Claims 3 = 0X 86.00 = \$ | 0.00 180 | 7 50 | 1807 | 50 | Prosessing fee under 37 CFR 1.17(q) | |
| Multiple Dependent | 180 | 06 180 | 1806 | 180 | Submission of Information Disclosure Stmt | |
| Large Entity Small Entity Fee Fee Fee Fee Fee Description | 802 | 21 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | · |
| Code (5) Code (5) | 180 | 9 770 | 1809 | 385 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 1202 18 2202 9 Claims in excess of 20 | 181 | 10 770 | 2810 | 205 | For each additional invention to be | <u> </u> |
| 1201 86 2201 43 Independent claims in excess of 3 | 181 | | 2010 | 300 | examined (37 CFR § 1.129(b)) | |
| 1203 290 2203 145 Multiple Dependent claim, if not paid | 180 | 1 770 | 2801 | 385 | Request for Continued Examination (RCE) | |
| 1204 86 2204 43 **Reissue independent claims over original patent | 180 | | 1802 | 900 | Request for expedited examination | |
| 1205 18 2205 9 **Reissue claims in excess of 20 and over original patent | Other | r fee (specify) | • | | of a design application | 0.00 |
| SUBTOTAL (2) (\$) (| 0.00 | | | | | <u> </u> |
| **or number previously paid, if greater, For Reissues, see below | | ced by Basic Filin | g Fee Paid | I | SUBTOTAL (3) | (\$) 0.00 |
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| Name (Print/Type) | Gregory D. Caldwell | Registration No. (Attorney/Agent) | 39,926 | Telephone | (503) 684-6200 |
| Signature | | | | Date | 12/16/03 |



Docket No.: 42390P10398

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

DAVID G. LEEPER

Application No.: 09/964,820

Filed: September 26, 2001

For: APPARATUS AND METHOD FOR

HANDOFF IN A WIRELESS NETWORK

Art Group: Not assigned

Examiner: Not assigned

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Technology Center 2600

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

The references were cited in a Search Report dated December 12, 2003 (copy enclosed herewith) in a counterpart PCT application.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: December 16, 2003

Gregory B. Caldwell, Reg. No. 39,926

12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025 Telephone: (503) 684-6200

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Carly A. Ryden

12-16-03

Date

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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Sheet 1 of

| | Complete if Known | |
|------------------------|--------------------|--|
| Application Number | 09/964,820 | |
| Filing Date | September 26, 2001 | |
| First Named Inventor | David G. Leeper | |
| Art Unit | Not assigned | |
| Examiner Name | Not assigned | |
| Attorney Docket Number | 42390P10398 | |

| | | | U.S. PATE | NT DOCUMENTS | | |
|------------|--------------|--------------------------------|------------------|---|---|--|
| Furnisas c | | Document Number | Publication Date | | Pages, Columns, Lines, Where | |
| | Cite No.' | Number - Kind Code² (if known) | | Name of Patentee or Applicant of Cited Document | Relevant Passages or Relevant Figures Appear | |
| | 1 . | US-6,088,591 | 07-11-2000 | Trompower, et al. | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | |
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| Examiner Cite | Foreign Patent Document | Ī. | | Pages, Columns, Lines, | ⊤ 6 | | |
| Examiner Initials* | No.1 | Country Code³ - Number¹ - Kind Code⁵ (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Where Relevant Passages or Relevant Figures Appear | r | |
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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.